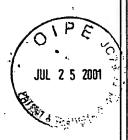
7-26-01

Attorney Docket No. 203-1544 CIP CON III (1695 CIP CON)



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s):

Dominick L. Mastri et al.

**EXAMINER: S. Smith** 

SERIAL NO:

09/625,886

GROUP:

3721

FILED:

July 26, 2000

DATE:

July 24, 2001

FOR:

SURGICAL STAPLER

DOCKET:

203-1544 CIP CON

III (1695 CIP CON)

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

# RENEWED PETITION UNDER 37 C.F.R. §1.137(b)

Dear Sir:

The above-identified application became abandoned for failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance. Applicants were notified of the Abandonment by the Notice of Abandonment mailed February 13, 2001. On May 11, 2001, applicants petitioned to revive the above-identified application under 37 C.F.R. § 1.137(b). The petition was dismissed because applicants failed to submit the issue fee as required by the Notice of Allowance. Applicants hereby renew their petition for revival of this application.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL918828905US addressed to: Assistant Commissioner for Patents, Box DAC, Washington, D.C. 20231.

Dated: July 24, 2001

Christopher G. Trainor

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Accompanying this petition is the issue fee of \$1,240.00. A Request For Continued Examination (RCE) transmittal, a check for \$710.00 for the RCE fee, and a preliminary amendment were previously submitted with the petition filed May 11, 2001. Copies of these documents are attached hereto. Since this application was filed after June 8, 1995, no terminal disclaimer is required. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 C.F.R. §1.137(b) was unintentional.

If the enclosed check is insufficient for any reason or becomes detached, please charge the required fee under 37 C.F.R. § 1.16(e) to Deposit Account No. <u>04-1121</u>.

Also, in the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. <u>04-1121</u>. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

DILWORTH & BARRESE 333 Earle Ovington Boulevard Uniondale, New York 11553 Respectfully submitted,

Paul J./Farrell

Registration No. 33,494

Attorney for Applicant(s)

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JUL 3 0 2001

JUL 2 5 2001

Attorney Docket No. 203-1544 CIP CON III (1695 CIP CON)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s):

Dominick L. Mastri et al.

**EXAMINER: S. Smith** 

SERIAL NO:

09/625,886

GROUP:

3721

FILED:

July 26, 2000

DATE:

May 11, 2001

FOR:

SURGICAL STAPLER

DOCKET:

203-1544 CIP CON

III (1695 CIP CON)

Assistant Commissioner for Patents

Washington, D.C. 20231

# <u>PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 C.F.R. §1.137(b)</u>

Dear Sir:

The above-identified application became abandoned for failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance. Applicant was notified of the Abandonment by the Notice of Abandonment mailed February 13, 2001. Applicant hereby petitions for revival of this application.

Accompanying this petition are the petition fee of \$1,240.00, a Request For Continued Examination (RCE) transmittal, a check for \$710.00 for the RCE fee, and a

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL820507615US addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: May 11, 2001

Christopher G. Trainor

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JUL 3 0 2001

preliminary amendment. Since this application was filed after June 8, 1995, no terminal disclaimer is required. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 C.F.R. §1.137(b) was unintentional.

Respectfully submitted,

Oustopher G. Tosuno

DILWORTH & BARRESE 333 Earle Ovington Boulevard Uniondale, New York 11553 Christopher G. Trainor Registration No. 39,517 Attorney for Applicant(s)

**RECEIVED** 

JUL 3 0 2001 OFFICE OF PETITIONS

PART B-ISSUE FEE TRANSMITTAL Complete and mail this form, together with app **Box ISSUE FEE** ble fees, to: **Assistant Commissioner for Pate** JUL 2 5 2001 Washington, D.C. 20231 LEGAL DEFA = MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 Note: The certificate of mailing below can only be used for domestic through 4 should be completed where appropriate. All further correspondence including the Issue Fee mailings of the Issue Fee Transmittal. This certificate cannot be used Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current for any other accompanying papers. Each additional paper, such as an correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) assignment or formal drawing, must have its own certificate of mailing. specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for **Certificate of Mailing** maintenance fee notifications. I hereby certify that this Issue Fee Transmittal is being deposited with CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on QM32/0926 the date indicated below. UNITED STATES SURGICAL CORP 150 GLOVER AVENUE 06856 NORWALK Christopher G. Trainor (Depositor's name) UNITED STATES (Signature) (Date) <u>July 24, 2001</u> **EXAMINER AND GROUP ART UNIT** DATE MAILED APPLICATION NO. FILING DATE **TOTAL CLAIMS** 09/625,886 07/26/00 001 SMITH, S 3721 09/26/00 First Named 35 USC 154(b) term ext. = MASTRI, 0 Days. Applicant INVENTION SURGICAL STAPLER ATTY'S DOCKET NO. **FEE DUE** CLASS-SUBCLASS BATCH NO. APPLN. TYPE SMALL ENTITY DATE DUE 1695 CIP CON 227-176.100 UTILITY \$1210.00 12/26/00 2 2. For printing on the patent front page, list 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required. (1) the names of up to 3 registered patent 1 DILWORTH & BARRESE, LLP attorneys or agents OR, alternatively, (2) the name of a single firm (having as a ☐ Change of correspondence address (or Change of Correspondence Address form member a registered attorney or agent) PTO/SB/122) attached. and the names of up to 2 registered patent ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached. attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) 4a. The following fees are enclosed (make check payable to Commissioner PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. of Patents and Trademarks): Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for X Advance Order - # of Copies \_\_\_\_\_\_10 filing an assignment. (A) NAME OF ASSIGNEE 4b. The following fees or deficiency in these fees should be charged to: UNITED STATES SURGICAL CORPORATION (B) RESIDENCE: (CITY & STATE OR COUNTRY) DEPOSIT ACCOUNT NUMBER 04-1121 (ENCLOSE AN EXTRA COPY OF THIS FORM) NORMALK CT
Please check the appropriate assignee category indicated below (will not be printed on the patent) Issue Fee x corporation or other private group entity government Advance Order - # of Copies The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above. (Authorized Signature) Christipher G. Tusun (Date) CHRISTOPHER G. TRAINOR, REG. NO. 39,517 07/27/2001 NYUSUF2 00000055 041121 NOTE; The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and 13625886 Trademark Office 1240.00 gp Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary 30.00 CH depending on the needs of the individual case. Any comments on the amount of time required RECEIVED to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for JUL 3 0 2001 Patents, Washington D.C. 20231 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection OFFICE OF PETITIONS of information unless it displays a valid OMB control number.

Paper No. 7

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UNITED STATES SURGICAL CORP 150 GLOVER AVENUE NORWALK CT 06856

JUL 2 5 2001

OFFICE OF PETITIONS

MAY 2 4 2001

In re Application of Mastri, et al. Application No. 09/625,886 Filed: July 26, 2000 Attorney Docket No. 1695 CIP CON

OFFICE OF PETITIONS DECISION ON PETITION

This is a decision on the petition filed on May 11, 2001, pursuant to 37 CFR 1.137(b), to revive the above-identified application.

The petition is DISMISSED.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.137(b)." This is **not** a final agency decision within the meaning of 5 USC 704.

The above-identified application became abandoned for failure to timely pay the issue fee as required by the Notice of Allowance, mailed September 26, 2000. This Notice set a statutory period of three (3) months from the mailing date of the notice for fee transmittal. The three-month statutory period for paying issue fees cannot be extended. No issue fee having been received, the above-identified application became abandoned on December 27, 2000. A Notice of Abandonment was mailed on February 13, 2001.

A grantable petition under 37 CFR 1.137(b) must be accompanied by: (1) the required reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d). The instant petition lacks item (1), the required reply.

Although petitioner did file a Request for Continued Examination (RCE) together with the RCE fee and an amendment, **petitioner has** failed to submit the issue fee as required by the Notice of Allowance. Whenever a case becomes abandoned for failure to pay the issue fee, the issue fee must be part of any reply.

There is no indication that the person signing the instant petition was ever given a power of attorney or authorization of agent to prosecute the above-identified application. If the person signing the instant petition desires to receive future correspondence regarding this application, the appropriate power of attorney or authorization of agent must be submitted. While a courtesy copy of this decision is being mailed to the person signing the instant petition, all future correspondence will be directed to the address of currently of record until such time as appropriate instructions are received to the contrary.

Further correspondence with respect to this decision should be

Application No. 09/625,886

addressed as follows:

By mail:

Commissioner for Patents Box DAC Washington, D.C. 20231

By FAX:

(703) 308-6916 Attn: Office of Petitions

By hand:

Office of Petitions 2201 South Clark Place Crystal Plaza 4, Suite 3C23 Arlington, VA 22202

Telephone inquiries concerning this decision should be directed to Petitions Attorney Cliff Congo at (703)305-0272.

Beverly M. Flanagan Supervisory Petitions Examiner Office of Petitions Office of the Deputy Commissioner for Patent Examination Policy

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cc: Christopher G. Trainor
Dilworth & Barrese
333 Earle Ovington Boulevard
Uniondale, NY 11553



<u>PATENT</u>

Attorney Docket No. 203-1544 CIP CON III (1695 CIP CON)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s):

Dominick L. Mastri et al.

**EXAMINER: S. Smith** 

SERIAL NO:

09/625,886

GROUP:

3721

FILED:

July 26, 2000

DATE:

May 11, 2001

FOR:

SURGICAL STAPLER

DOCKET:

203-1544 CIP CON

III (1695 CIP CON)

Assistant Commissioner for Patents

Washington, D.C. 20231

# PRELIMINARY AMENDMENT

Dear Sir:

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Prior to examination, please amend this application as follows:

JUL 3 0 2001

OFFICE OF PETITIONS

IN THE CLAIMS:

Please cancel Claim 1 and add the following new claims:

- 20. A surgical stapling kit which comprises:
- a) a surgical stapler having a handle portion, an elongate body extending distally from the handle portion, and an actuation assembly operatively associated with the handle portion and the elongate body, the body of the stapler having a first portion of an engagement coupling disposed at a distal end portion thereof; and

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

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Dated: May 11, 2001

Christopher G. Trainor

- b) at least first and second interchangeable disposable loading units each having a different stroke length corresponding to the length of a staple row applied to body tissue thereby, and a second portion of an engagement coupling for releasably engaging the first portion of the engagement coupling of the surgical stapler at the distal end portion of the elongate stapler body.
- 21. A surgical stapling kit as recited in Claim 20, wherein each disposable loading unit includes a carrier which supports a staple cartridge containing a plurality of surgical fasteners and an anvil against which the fasteners are driven when ejected from the staple cartridge in response to operation of the actuation assembly.
- 22. A surgical stapling kit as recited in Claim 21, wherein the second portion of the engagement coupling is operatively associated with a proximal end portion of the carrier of each disposable loading unit.
- 23. A surgical stapling kit as recited in Claim 21, wherein each disposable loading unit includes an actuator mounted to translate through the staple cartridge to interact with a plurality of staple pushers which sequentially urge the surgical fasteners from the staple cartridge in a direction transverse to the direction in which the actuator translates.
- 24. A surgical stapling kit as recited in Claim 20, wherein the stroke length of each disposable loading unit is selected from the group consisting of a 30mm stroke length, a 45mm stroke length, and a 60mm stroke length.
- 25. A disposable loading unit for utilization with a surgical stapler, the stapler having a handle portion, an elongated body extending distally from the handle portion, and an actuation assembly operatively associated with the handle portion and the

elongated body, the disposable loading unit comprising:

- a) a carrier having a proximal end portion including a coupling for releasable engagement in a distal end portion of the elongated body;
- b) a staple cartridge supported in the carrier and containing a plurality of surgical fasteners and a plurality of fastener pushers for ejecting the fasteners from the staple cartridge;
- c) an anvil supported on the carrier and mounted for movement with respect to the staple cartridge between an open position and a closed position, the anvil having a fastener forming surface against which the surgical fasteners are driven when ejected from the staple cartridge by the fastener pushers and a camming surface opposite the fastener forming surface;
- d) an actuator mounted to translate through the staple cartridge to sequentially interact with the fastener pushers; and
- e) a drive beam having a proximal engagement portion configured to mate with a distal end portion of the actuation assembly of the stapler and a distal working end portion including an abutment surface for engaging the actuator and a camming member for engaging the camming surface of the anvil, whereby operation of the actuation assembly moves the drive beam through the carrier causing the camming member to progressively close the anvil as the actuator translates through the staple cartridge to sequentially interact with the plurality of fastener pushers.
- 26. A stapling unit as recited in Claim 25, wherein the camming member comprises a cylindrical cam roller mounted on a flange extending from the distal working end portion of the drive beam.

- 27. A stapling unit as recited in Claim 26, wherein a longitudinal slot is defined in the anvil to accommodate the linear translation of the working end portion of the drive beam.
- 28. A stapling unit as recited in Claim 26, further comprising a transverse support flange operatively mounted on the working end portion of the drive beam opposite the cam roller and positioned to engage an undersurface portion of the carrier as the cam roller engages the camming surface of the anvil.
- 29. A stapling unit as recited in Claim 28, wherein a longitudinal slot is defined in the undersurface of the carrier to accommodate the linear translation of the working end portion of the drive beam.
- 30. A stapling unit as recited in Claim 25, wherein a knife blade is operatively supported adjacent a leading edge of the working end portion of the drive beam for forming an incision in stapled body tissue.
- 31. A stapling unit as recited in Claim 25, wherein the actuator comprises a sled including a planar base portion and a plurality of spaced apart upstanding cam wedges each having an inclined leading edge for interacting with the fastener pushers within the staple cartridge.
- 32. A method of applying at least one surgical fastener to body tissue comprising:

  providing a surgical apparatus, the surgical apparatus having a handle portion

  including a movable actuation handle and an elongated body extending distally from the

  handle portion, the elongated body having proximal and distal end portions, the elongated

  body distal end portion being adapted to receive a disposable loading unit;

providing a first disposable loading unit having at least one surgical fastener of a

first type;

securing the first disposable loading unit to the distal end of the elongated body;
moving the actuation handle to deform the at least one surgical fastener provided
in the first disposable loading unit;

removing the first disposable loading unit from the distal end of the elongated body;

providing a second disposable loading unit having at least one surgical fastener of a second type different from the first type;

securing the second disposable loading unit to the distal end of the elongated body; and

moving the actuation handle to deform the at least one surgical fastener provided in the second disposable loading unit.

### **REMARKS**

Claims 20-32 are currently pending in this application. By this amendment,

Claim 1 has been canceled and Claims 20-32 have been added. No new matter has been added by this amendment.

It is respectfully submitted that all of the Claims now pending in this application

Claims 20-32 are in condition for allowance. Accordingly, early and favorable

consideration of this application is respectfully

requested. Should the Examiner feel that a telephone or personal interview may facilitate resolution of any remaining matters, he is respectfully requested to contact Applicant's attorney at the number indicated below.

Respectfully submitted,

Christophe G. Trains

Christopher G. Trainor

Reg. No. 39,517

Attorney for Applicant(s)

Uniondale, New York 11553 (516) 228-8484 CGT/PMC

DILWORTH & BARRESE

333 Earle Ovington Blvd.

PATENT

# HE UNITED STATES PATENT AND TRADEMARK OFFICE

Dominick L. Mastri et al. Examiner:

S. Smith

Serial No.:

09/625,886

Group:

3721

Filed:

July 26, 2000

Docket:

203-1544 CIP CON III

(1695 CIP CON)

For:

SURGICAL STAPLER

Dated:

May 11, 2001

**Assistant Commissioner for Patents** Washington, D.C. 20231

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OTHER THAN

AMENDMENT TRANSMITTAL FORM

OFFICE OF PETITIONS

Sir:

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been [] established by a verified statement previously submitted.
- A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- No additional fee is required. [x]

The fee has been calculated as shown below:

	(Col.	1)	(Col. 2)	(Col. 3)	SMALL ENTITY			SMALL ENTITY	
	AFTE	AINING	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT.	OR	RATE	ADDIT. FEE
TOTAL	20	MINUS	20	= 0	X 9	\$	X	18	\$0
INDEP.	3	MINUS	3	= 0	X 39	\$	X	78	\$0
o FIRST PF	RESENTAT	ION OF M	ULTIPLE DEP. C	LAIM	X 135	\$	Х	270	\$0
					TOTAL	_	OR	TOTAL	\$ 0

\$<u>-0-</u>

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I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label No. EL820507615US addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: May 11, 2001

<sup>\*</sup> If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

<sup>\*\*</sup> If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3". The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- Please charge Deposit Account No. 04-1121 in the amount of \_\_\_\_. Two (2) copies of this sheet are enclosed.
   A check in the amount of \$\_\_\_\_ is enclosed.
   Please charge any deficiency as well as any other fee(s) which may become due under 37
  - [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. <u>04-1121</u>. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. <u>04-1121</u> therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

DILWORTH & BARRESE 333 Earle Ovington Blvd. Uniondale, NY 11553 (516) 228-8484 CGT/PMC

Christopher G. Trainor Reg. No. 39,517 Attorney for Applicant(s)

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JUL 3 0 2001



# U.S. PATENT AND TRADEMARK OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Request for Continued Examination (RCE) Transmittal
2. Petition for Revival of an Application for Patent Abandoned....(2 pages)

3. Preliminary Amendment (6 pages)

4. Amendment Transmittal Letter (2 pages) in triplicate
5. Certificate of Mailing via Express Mail EL820507615US

6. Checks in the amount of \$1,240 and \$710.00

Docket:

Dominick L. Mastri et al. 09/625,886

Applicant: Serial No:

Filed:

July 26, 2000 203-1544 CIP CON III (1695 CIP CON)

CGT/PMC- 5/11/2001